

Summary table of jurisdictional responses

Sweden Second update to Level 1 assessment report – June 2015

	Principles/ Responsibilities	FMI Type	Rating	Status description / Next steps	Links to the measures
Sweden	Principles	CCPs	(4, see EU)		
		PSs	4	<p>Sveriges Riksbank (Riksbank) published final new policy statement on 30 May 2012. The measure came into force on the same date.</p> <p>Finansinspektionen published a policy statement on 25 November 2014. The measure came into force on the same date.</p>	<p>Riksbank (policy statement) – The Riksbank’s oversight of the financial infrastructure http://www.riksbank.se/en/Financial-stability/Financial-infrastructure/The-Riksbanks-oversight-of-the-financial-infrastructure/</p> <p>Finansinspektionen’s (policy statement) http://www.fi.se/Folder-EN/Startpage/Supervision/FIs-supervision/CPMI-IOSCO-principles-form-part-of-the-supervision-of-financial-infrastructure-companies/</p>
		CSDs and SSSs	4	<p>Sveriges Riksbank (Riksbank) published final new policy statement on 30 May 2012. The measure came into force on the same date.</p> <p>Finansinspektionen published a policy statement on 25 November 2014. The measure came into force on the same date.</p>	<p>Riksbank (policy statement) – The Riksbank’s oversight of the financial infrastructure http://www.riksbank.se/en/Financial-stability/Financial-infrastructure/The-Riksbanks-oversight-of-the-financial-infrastructure/</p> <p>Finansinspektionen’s (policy statement) http://www.fi.se/Folder-EN/Startpage/Supervision/FIs-supervision/CPMI-IOSCO-principles-form-part-of-the-supervision-of-financial-infrastructure-companies/</p>
		TRs	(4, see EU)		

Responsibilities	CCPs	4	Riksbank and Finansinspektionen have a legal capacity to implement the Responsibilities.	<p>Riksbank – The Sveriges Riksbank Act, chapters 2, 4, 6, and 10 http://www.riksbank.se/Documents/Riksbanken/Lagar_regler_polic/2012/rb_riksbankslagen_120701_sve.pdf</p> <p>Riksbank – The Riksbank’s oversight of the financial infrastructure http://www.riksbank.se/en/Financial-stability/Financial-infrastructure/The-Riksbanks-oversight-of-the-financial-infrastructure/</p> <p>Finansinspektionen – The Securities Market Act, chapters 19, 23 and 25 http://www.notisum.se/rnp/sls/lag/20070528.HTM (only available in Swedish as hyperlink)</p>
	PSs	4	The Riksbank and Finansinspektionen have a legal capacity to implement the Responsibilities.	See CCPs.
	CSDs and SSSs	4	The Riksbank and Finansinspektionen have a legal capacity to implement the Responsibilities.	See CCPs.
	TRs	(NA, see EU)		
Comments:				

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		PSs	4 (Riksbank)	Sveriges Riksbank (Riksbank) published final new policy statement on 30 May 2012. The measure came into force on the same date.	Riksbank (policy statement) – The Riksbank’s oversight of the financial infrastructure http://www.riksbank.se/en/Financial-stability/Financial-infrastructure/The-Riksbanks-oversight-of-the-financial-infrastructure/
			1 (Finansinspektionen)	Measure is needed but so far no draft has been made public.	
		CSDs and SSSs	(2, see EU)		
		TRs	(4, see EU)		
	Responsibilities	CCPs	4	Riksbank and Finansinspektionen have a legal capacity to implement the Responsibilities.	Riksbank – The Sveriges Riksbank Act, chapters 2, 4, 6, and 10 http://www.riksbank.se/Documents/Riksbanken/Lagar_regler_policy/2012/rb_riksbankslagen_120701_sve.pdf Riksbank – The Riksbank’s oversight of the financial infrastructure http://www.riksbank.se/en/Financial-stability/Financial-infrastructure/The-Riksbanks-oversight-of-the-financial-infrastructure/ Finansinspektionen – The Securities Market Act, chapters 19, 23 and 25 http://www.notisum.se/rnp/sls/lag/20070528.HTM (only available in Swedish as hyperlink)
		PSs	4 (Riksbank)	The Riksbank and Finansinspektionen have a legal capacity to implement the Responsibilities.	See CCPs.
		CSDs and SSSs	4	The Riksbank and Finansinspektionen have a legal capacity to implement the Responsibilities.	See CCPs.

		TRs	(NA, see EU)		
	Comments: For PSs: The split rating between Riksbank and Finansinspektionen is due to two different regulatory regimes.				

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			1 (Finansinspektionen)	Measure is needed but so far no draft has been made public.	
		CSDs and SSSs	(2, see EU)*		
		TRs	(4, see EU)		
	Responsibilities	CCPs	4	Riksbank and Finansinspektionen have a legal capacity to implement the Responsibilities.	Riksbank - The Sveriges Riksbank Act, chapters 2, 4, 6, and 10 http://www.riksbank.se/Documents/Riksbanken/Lagar_regler_policy/2012/rb_riksbankslagen_120701_sve.pdf Riksbank - The Riksbank's oversight of the financial infrastructure http://www.riksbank.se/en/Financial-stability/Financial-infrastructure/The-Riksbanks-oversight-of-the-financial-infrastructure/ Finansinspektionen - The Securities Market Act, chapters 19, 23 and 25 http://www.notisum.se/rnp/sls/lag/20070528.HTM (only available in Swedish as hyperlink)
		PSs	4 (Riksbank)	The Riksbank and Finansinspektionen have a legal capacity to implement the Responsibilities.	See CCPs.
		CSDs and SSSs	4	The Riksbank and Finansinspektionen have a legal capacity to implement the Responsibilities.	See CCPs.
		TRs	(NA, see EU)		
	Comments: For PSs: The split rating between Riksbank and Finansinspektionen due to two different regulatory regimes.				