



Personal Data Protection Policy and assignment of image rights for participants in events and meetings organized by IOSCO (the “Event”)

At the INTERNATIONAL ORGANIZATION OF SECURITIES COMMISSIONS (“IOSCO”), we take your privacy seriously; thus, we are committed to protecting the privacy and personal information of all the participants in events and meetings held by IOSCO, in compliance with the applicable laws on data protection.

Data controller

For the purpose of managing the organization of the event, the INTERNATIONAL ORGANIZATION OF SECURITIES COMMISSIONS (hereinafter, “IOSCO”), having its registered office at Oquendo St., 12. 28006, Madrid, with national ID number G83067397, whose email address is info@iosco.org and the email of its internal data protection coordinator is t.pinkowski@iosco.org, will collect and process your personal data.

Legal basis and purposes of the processing

IOSCO will collect and process the personal data of the participants for the following purposes: (i) management of the registration and attendance at a specific event and meeting; (ii) based on our legitimate interest, where applicable, for using the recordings to keep track of the discussions and decisions taken place; and (iii) based on your consent, if applicable, for using your image for further purposes established herein and, for sending email communications, reports, weekly bulletins (for IOSCO members only), publications and press releases, as well as information with regard to other events and meetings held by IOSCO and which might be of your interest.

This personal data processing will not imply, under any circumstances, automated decisions based on these personal data.

Transfer of image rights

IOSCO meetings and events may be recorded for different purposes. If an IOSCO meeting is to be recorded, IOSCO will inform the attendee on the registration process.

Some IOSCO meetings and events are recorded and webcasted either for capacity building purposes or for broader access by the IOSCO membership (e.g. IOSCO seminar and training programs or IOSCO Presidents Committee). In these cases, if the attendee takes part or intervenes, his/her image and voice might be recorded and webcasted.



However, the majority of IOSCO Committee meetings and events (e.g. IOSCO Board meeting, Policy Committees meetings, Growth and Emerging Markets Committee (GEMC) meetings, Affiliate Members Consultative Committee (AMCC) meetings and Regional Committee Meetings) if recorded, will only be to keep track of the discussions under restricted access and observing confidentiality rules. In these cases, the recordings will be accessible only by authorize staff from the Secretariat.

If an IOSCO meeting is to be recorded, by means of this document, and over the signature or acceptance via electronic means or check box to register the meeting, the attendee voluntarily authorize the collection and use of its image and voice (hereinafter, the "**Images**") by any means (for instance, by taking photos or video and voice recording), as well as the subsequent dissemination and/or use of these Images in all the locations where IOSCO operates and, under IOSCO´s sole discretion, where it may be deemed in the interest of its members and relevant to the event and the promotion of such an event, within a period of two (2) years from the moment the event took place, using all kinds of devices, means of communication and/or ways of exploitation (including on the Internet and social media) for the promotion and organizational purposes described herein and for IOSCO members and other stakeholders to be able to reproduce the content of these meetings. Once this two-year term has ended, the images can only be kept for historical data purposes.

In particular, this assignment includes the right to reproduce, use, exhibit, communicate to the public, distribute and any other way of exploiting image rights, totally or partially, or with amendments (such as framings, editing and modifying the Images or including such Images in other materials) using all kind of devices, formats, communication media, Internet and social media, with the abovementioned purposes.

This assignment includes the right to give sublicense to IOSCO members in connection with the event or for promoting purposes of IOSCO or its members with the duration set forth in this assignment and the territorial scope of this document, without having the right to receive any kind of compensation for this use.

Retention period

Your personal data will be processed for as long as it is necessary to fulfil any of the purposes for which they were collected, mainly the management and organization of events and meetings, and, once the personal data are no longer required for the above mentioned purposes, personal data will be kept, duly blocked, for as long as liabilities may arise and, in any case, not exceeding six (6) years.

When the legal basis of the processing is the participant's consent, the personal data will be kept for as long as the participant has not withdrawn its consent or the term is



not expired when this has been fixed, and once the participant has revoked its consent, for as long as liabilities may arise for IOSCO, not exceeding six (6) years.

How we might share your information

IOSCO may need to share personal data with third parties if required to do so by a legal provision. Furthermore, IOSCO may communicate personal data to third parties that provide assistance for the purposes of the data processing, such as audit companies, consultancy, IT services and event agencies and different event organizers.

Furthermore, IOSCO is an international organization that brings together the world's securities regulators and is recognized as the global standard setter for the securities sector whose main goal is to develop, implement and promote adherence to internationally recognized standards for securities regulation. Its main objectives include:

- to cooperate in developing, implementing and promoting adherence to internationally recognized and consistent standards of regulation, oversight and enforcement in order to protect investors, maintain fair, efficient and transparent markets, and seek to address systemic risks;
- to enhance investor protection and promote investor confidence in the integrity of securities markets, through strengthened information exchange and cooperation in enforcement against misconduct and in supervision of markets and market intermediaries; and
- to exchange information at both global and regional levels on their respective experiences in order to assist the development of markets, strengthen market infrastructure and implement appropriate regulation.

As a result, taking into account the international nature of IOSCO as an organization of securities commissions, and its members, some of them established outside the European Economic Area (EEA), IOSCO may transfer personal data to other members of the organization in third countries outside the European Economic Area. The aim of this transfer is to support the cooperation among members and manage their participation in IOSCO events.

In these cases, IOSCO will implement the technical and organizational measures that it deems appropriate to ensure the adequate protection of the personal data. Under no circumstances, may IOSCO transfer personal data to third non-EEA countries for which the European Commission has not issued an adequacy decision or for which IOSCO has



not implemented other adequate safeguards (i.e. standard contractual clauses). The data subject can request more information, or a copy of the adequate safeguards implemented using the contact email or address mentioned below.

Furthermore, IOSCO may communicate personal data of the participants if it is required to do so by a legal provision, in the course of judicial proceedings, for the investigation of suspicious activities or for protecting its rights or those of the data subject.

Exercise of rights

The participant may exercise, at any moment and under the terms envisaged by any applicable legislation, the rights of access, rectification or deletion of the personal data, as well as the right to request the restriction of the processing of his/her personal data, to oppose their processing, to revoke the consent given when the legal basis is the consent of the participant, or to request the portability of his/her personal data, by sending an email to the address indicated in this section, indicating clearly the right that he/she wishes to exercise.

Contact person: Tim Pinkowski

Contact email: t.pinkowski@iosco.org

Telephone number: +34 914175549

Postal address: C/ Oquendo 12, 28006, Madrid, Spain

Note that you are entitled to submit, at any time, a complaint to the Spanish Data Protection Agency or the competent supervisory authority on data protection.